

Corporate Risk Register



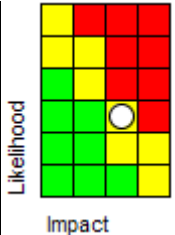
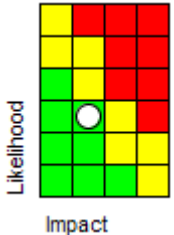
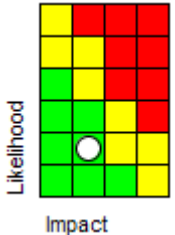
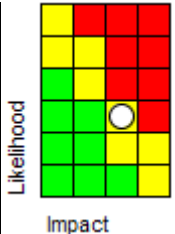
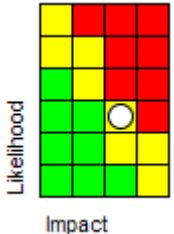
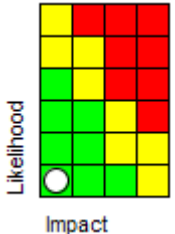
Rows are sorted by Objective

Trend: Constant 14 Decreasing 1 Increasing 1

Financial

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
Ability to identify savings required by MTFS				Increasing	<ul style="list-style-type: none"> • Council cannot fund full range of services in future • Pressure on General Fund reserves 	CLT and Cabinet work together to identify savings and income generation opportunities and to consider use of reserves in setting the budget for each year	Pete Hudson	There are several service reviews taking place across the Council and CLT/Cabinet meets regularly to discuss and identify opportunities to reduce cost and generate income. The current estimated funding gap is based on assumptions about the level of Central Government funding and the timing of funding reductions.	29-Apr-2022

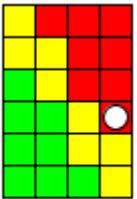
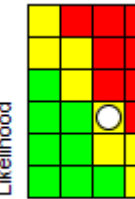
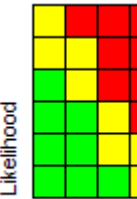
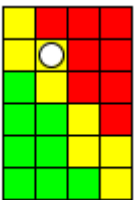
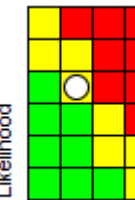
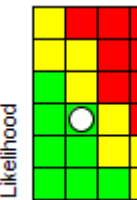
Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
								<p>These have not materialised in the last 4 years as one-off Government financial settlements have been received and it is unclear what the settlement arrangement will be for 2023/24 and beyond. The Council has set aside some funding in reserves to help cushion the impact of expected reduction of central government funding, but this will be insufficient on its own without some significant cost efficiencies from service reviews, the DST programme efficiencies, procurement savings and additional income generation. The current impact of</p>	

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
								increasing inflation will also put further pressure on budgets, and this too will need to be mitigated through these reviews.	
Business Rates appeals within forecast				Constant	Negative impact a MTFS; further savings required	A prudent approach is taken to estimating likely successful appeals.	Diane Mitchell; Craig Scott	The Business Rates Appeals provision for 2021-22 was set based upon a careful assessment of outstanding appeals, considering as far as possible, new Appeals received during 2021-22. The Appeals provision is more than sufficient to cover the expected successful appeals during 2021-22.	25-Apr-2022
Level of central government funding 2022 onwards				Constant	Negative impact a MTFS; further savings required	The Council will contribute to any consultation when proposals are announced, emphasising the need for resources to be allocated to	Pete Hudson	There is expected to be a significant financial challenge beyond 2022/23 and CLT and Cabinet will continue throughout the year with the 6 weekly Budget	29-Apr-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
						deprived areas.		Workshops to identify and consider savings and efficiencies to address the future financial challenges. As information is made available about future central government funding mechanisms and amounts, this will be fed into the regular CLT/Cabinet Budget Workshops.	
Introduction of Universal Credit				Constant	<ul style="list-style-type: none"> • Potential loss of HRA rental income if tenants receiving UC choose not to pay their rent e.g., they spend this on elsewhere. 	<p>The CAB operates an agency agreement with the DWP to assist residents who wish to claim UC. The Council has referral arrangements in place with the CAB. ; Income Officers within the Income Team have all been trained to deal with UC cases. ; There is a</p>	Nicky Moss; Paul Parkinson	<p>The impact, likelihood and controls have been reviewed and remain unchanged.</p> <p>Managed migration is progressing.</p>	11-May-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
						formalised internal process for managing UC cases			
Sustainability of HRA business plan and ability to invest in current and new stock				Constant	<p>Inability to provide services and meet regulatory requirements</p> <p>Inability to build new housing stock</p> <p>Inability to cross fund general fund services</p>	<p>Regular review of HRA 30 Year Business Plan</p> <p>White Paper Action Plan</p>	Phil Warrington	<p>The Housing White paper and Building Safety Act 2022 prioritises the health and safety of tenants and places additional obligations on housing authorities. Mandatory carbon monoxide and fire detection measures are needed on all Council homes.</p> <p>The obligation for the Council to achieve a C energy rating for all Council homes by 2035 and to be net zero by 2050 places a further significant financial burden on the Council. Potential quick wins to improve energy efficiency have already been</p>	16-May-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
								<p>optimised and so more costly measures will be needed to reach the target. Achieving the green homes target cannot be sustained within the HRA Business Plan.</p> <p>Decent homes 2 is on the horizon but we are awaiting legislation on the required standard.</p> <p>Current inflationary pressures and increased building material costs are pushing up prices.</p> <p>The HRS Business Plan will need to be kept under review and investment levels adjusted to ensure as best as possible that targets are met and the HRA remains solvent.</p>	

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
Ability to deliver Towns Fund and Future High Streets Programme	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Constant	<p>Opportunity lost to regenerate and re-purpose town centres and local centres</p> <p>Reputational damage</p>	<p>Specialists will be appointed to support business case development for Towns Fund and Future High Streets Funding projects ; Future High Streets Fund and Towns Fund delivery monitored through Pentana, Regen, Board and Discover Ashfield Board ; Team structure being reviewed to ensure sufficient resource</p>	Sarah Daniel	the impact and likelihood have been reviewed and remain unchanged.	09-May-2022
Loss / delays in receipt of key income sources (Business Rates, Council Tax, Housing and Investment Property Rents)	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Constant	<p>Loss of income - increased write offs.</p> <p>Delays in receiving income leading to potential cashflow issues.</p> <p>Increased debt management and recovery costs.</p>	<p>Government deferral of paying Central Business Rates contribution until end of June 2020. ; Reserve to cushion delays in payment of Investment Property income.</p> <p>Arrangements in place with some</p>	Pete Hudson	The impact of increasing inflation in the economy is likely to result in some permanent reductions in some income sources and delays in income receipts. This is monitored on an ongoing basis and key impacts reported	03-May-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
					Potential impact on payment of preceptors and having sufficient income to meet cost obligations as they fall due.	Investment Property tenants re agreed delays in rent income. (Exception's basis only). Currently expected that all accounts will be up to date by 31/03/21. ; Healthy HRA balances to manage short term cashflow issues from reductions/delays in housing rent ; Option to scale back costs associated with non-critical functions.		through to CLT and Cabinet via Financial Monitoring reports. CIWG also receives regular update reports regarding Investment and Commercial Property income performance with twice yearly reports to Audit Committee, although it is not currently anticipated that income from these will be reduced. Any significant permanent loss of income will be reflected in updates to the MTFS.	

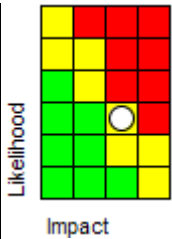
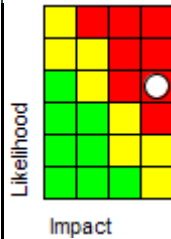

Legal & Regulatory Risk

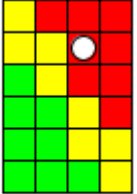
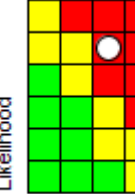
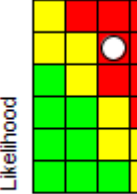
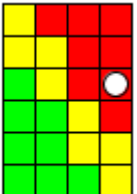
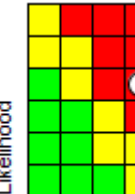
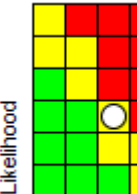
Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
Ethical Governance - ability to implement changes to the Members' Code of Conduct and recommendations of the Committee on Standards in Public Life (CSPL) and Peer Challenge				Constant	<ul style="list-style-type: none"> • Significant resource to deal with implications of proposed Code of Conduct changes. • Significant resource to deal with implications of implementing the recommendation of the CSPL • Potential for negative perception of the Council which impacts upon the Council's reputation • Potential for adverse impact upon the 	<p>Ongoing work by the Standards and Personnel Appeals Committee in relation to the Committee on Standards in Public Life - report on Local Government Ethical Standards ; Members received training regarding the Code of Conduct, their behaviours and roles as part of the induction in May 2019. In line with the Corporate Peer Challenge recommendation further training will be organised.</p> <p>; Present Quarterly Complaint</p>	Ruth Dennis; Michael Joy	<p>Present Quarterly Complaint Monitoring reports to Standards and Personnel (Appeals) Committee</p> <p>Numerous reports relating to the Committee on Standards in Public Life - report on Local Government Ethical Standards have been presented to Committee in 2019, 2020, 2021 and 2022. A further report will be presented in detail in June 2022.</p> <p>The Standards and Personnel Appeals Committee approves a work plan each municipal year. The Work Plan for 2021/22</p>	10-May-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
					<p>workings of the Council</p> <ul style="list-style-type: none"> Without new legislation does not provide holistic response to the recommendation of the CSPL 	<p>Monitoring reports to Standards and Personnel (Appeals) Committee ; Responding to the LGA's consultation on its draft Model Code of Conduct.</p>		<p>included an ongoing action to implement the CSPL Best Practice Recommendations and the proposed work plan for 2022/23 will do so as well. Items for approval were presented at the Committee meetings during 2021/22 relating to use of resources and gifts and hospitality.</p> <p>The Committee in March 2021 recommended a revised Code of Conduct to the Council AGM. The Council at its AGM on 20 May 2021 approved the revised Code which incorporates elements of the LGA Model Code. The roll out of the new Code will</p>	

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								<p>include revised training for Members and relevant Officers along with information on the Website and Intranet. The LGA has produced a toolkit to facilitate training which will be reviewed and rolled out in the next few months.</p> <p>The Corporate Peer Challenge team recommended training for Members and Officers in relation to the roles and responsibilities of Members and officers. A revised Members' Development Strategy was approved in December 2021. SOLACE is currently facilitating training with Cabinet Members in the first instance regarding their roles</p>	

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
								and responsibilities. As part of the work plan, the Standards Committee will also be looking to develop the induction programme for Members following the May 2023 District Elections.	
Having an adopted LDF / Local Plan				Constant	<ul style="list-style-type: none"> • Diminish ability to stimulate economic growth • Increase likelihood of a developer lead approach to devt. • Maximises potential for a significant award of costs against the authority • Local Plan now at preferred approach. Need to publish next stage. Failure to achieve will set back timetable. 	Regular engagement with Members to bring them on board ; Keeping abreast of latest challenges; work with Planning Advisory Service and Planning Inspectorate ; Keeping a clear audit trail of engagements with developers and consultees ; work with Elected Members to address concerns ; Provide professional	Christine Sarris	The situation is still waiting government response.	11-Mar-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
					· If plan requires subsequent revision, will add delays.	guidance			
Planning appeals				Constant	surpass the 10% limit and end up in special measures	Councillor training, Officer training & monitoring	Christine Sarris	Planning appeals are continuously monitored. Officers work with members to inform them of the impacts of decision in terms of both costs and potential interventions if performance targets are not met. One appeal currently exists. The potential to surpass the 10% limit depends on the number of major applications determined. One further appeal between now and January could have such a result unless we determine at least 11 major applications by end June.	26-May-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
Ability to meet statutory obligation process timescales (eg gas servicing)	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Constant	Loss of life through explosion or carbon monoxide poisoning Reportable breach to Housing Regulator Govt intervention and/or corporate manslaughter	Following current Govt guidelines in terms of evidencing all 'refusals' Tenants provided with safety leaflet relating to CO Weekly report to Housing Regulator	Chris Clipstone; Richard Davis	The completion of the PH&SC/S remains challenging and encounters numerous difficult access properties who are reluctant to provide access to their home for these essential services to be completed. In addition to the usual PH&SC/S processes Legislation is pending in relation to the mandatory installation of CO alarms. At present the timeframe for the installation of these items has not been confirmed.	19-May-2022
Ability to comply with the regulatory regime set out by the Regulator of Social Housing	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Constant	<ul style="list-style-type: none"> Health and Safety prosecutions. Appearing on the Regulator of Social housing's 'Watch List'. 	. Monthly updates at DMT against the Housing and Asset Corporate Risks to identify early warning indicators and tolerances	Nicky Moss	Additional resources are being looked at as the new regulatory regime will require additional work within the Housing and Asset Directorate and	29-Mar-2022

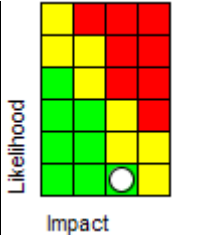
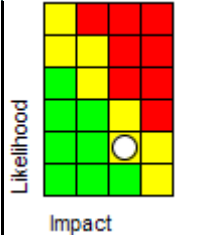
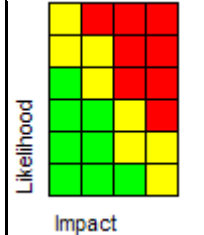
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					<ul style="list-style-type: none"> • Regulator Inspections. • Inspection downgrade following inspections. • Regulatory downgrade from current status. • Unlimited fines. • Removal of the Housing Stock. • Reputational damage to the Council. 	<ul style="list-style-type: none"> . Monthly review/updates against Social Housing White Paper Action Plan . Quarterly reports on performance on Complaints . Monthly updates to DMT on determinations from the Housing Ombudsman and failings from the Regulator of Social Housing for the sector . Quarterly updates on our position against Regulatory Consumer Standards . Quarterly key performance indicator report . Quarterly review of Tenant Satisfaction Measures . Quarterly FLEGAL update report 		support from other sections e.g., Performance Team.	

Legal & Regulatory Risk; Service Delivery

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
Legal Services – Lack of Staffing Resources and Disruption Caused by Exiting Shared Service	<p>Likelihood</p> <p>Impact</p>	<p>Likelihood</p> <p>Impact</p>	<p>Likelihood</p> <p>Impact</p>	Decreasing	<p>1.Lack of staffing resources and disruption caused by the transition period involved in exiting the Legal Shared Service in early 2022</p> <p>2. Could critically jeopardise the effective delivery of key projects by the Council</p> <p>3.Impact on day-to-day activities of the Council which require legal support.</p>	<p>to implement new structure in accordance with Council process ; Joint Exit Plan with MDC ; Develop detailed Risk Register as part of Exit Plan ; Regular project meetings with MDC regarding Exit (ADC) to be developed ; Use of Communications Plan</p>	Ruth Dennis	<p>A Project Group has been established with MDC to manage the exit from the shared service. The Group meets monthly. The shared service will end on 30 June 2022.</p> <p>The new Ashfield Legal Team structure was approved during February followed by implementation in March. Vacant posts were advertised during February and interviews were held during March. All vacant posts have been successfully recruited to. New team members will be joining the Council between now and the end of June depending</p>	10-May-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
								<p>on their notice periods. All new staff will be in place before the 30 June exit and as such, the team will be fully staffed at the start of the new Ashfield only service.</p> <p>Interim staffing arrangements to fill vacancies within the budget envelope continue with several locums and use of external legal resources.</p> <p>Weekly review of priority workloads is undertaken between the Director of Legal and Governance and Service Manager, Legal Services.</p>	

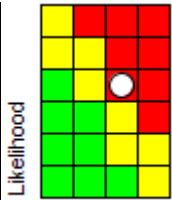
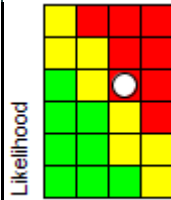

Reputational

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
Leisure Centre - Ability to complete the project on time, within budget and within scope				Constant	Reputational damage Delay on delivery benefits	Project programme established, with realistic timescales set, allowing for delays. Regular meetings held with project group where programme is monitored. When construction starts progress will be reviewed at fortnightly site meetings and monthly Steering Group meetings. Liquidated damages included in contract documents. Contractor required to produce detailed programme of works and to produce action plan to rectify delay if work falls behind programme.	Theresa Hodgkinson; Darowen Jones	Confirmed with project manager, Darowen Jones from Kinver that no new risks exist and that the risk rating remains the same.	04-May-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
						Reputational Damage due to cost/ time overrun and all Publicity and contact with media to be managed by Corporate Communications section. Project manager being appointed to ensure that the project is kept to programme and costs.			

Service Delivery

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
Levels of sickness absence				Constant	<ul style="list-style-type: none"> • Productivity • Financial • Employee morale • Service delivery • Remaining staff placed under increased pressure • Reputational damage 	Robust management of sickness absence procedures by managers and robust procedures – Revised Absence Mgt Policy implemented ; Effective monitoring – monthly monitoring reports highlighting service area absence to assist CMG and managers in absence management ; Employee support mechanisms – Employee assistance programme implemented ; Appropriate occupational health support – Occupational Health	Kate Hill; Nikki Morris	Sickness level are currently high and over target. Long term sickness is very high. Attributed to this is the high level of operations which have previously been delayed due to the pandemic. HR are working with managers to support employees with absence back to work. Management reports are provided by HR monthly to CLT/managers/unions supplemented by an additional Director/HR monitoring meeting on reviewing directorate compliance with attendance	04-May-2022

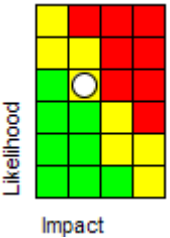
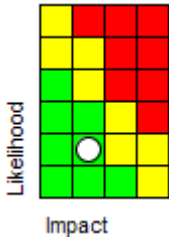

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						provision reviewed		management policy. HR also attend CLT on a quarterly basis of which Attendance Management is included in the HR Managers briefing	
Workforce planning - ability to recruit and/or retain filled position to critical posts	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Constant	Inability to provide critical service functions including statutory services whilst vacant Negative impact on delivery of critical functions that directly affect Corporate Plan priorities, productivity, MTFS	Implementation of Workforce Plan ; Identify Critical Posts and implement strategic plan to mitigate against risks of failure to recruit/retain quality staff to these positions	Craig Bonar	Vacancies, recruitment, and retention to critical posts including use of Market Supplements Policy continues to be monitored aligned to the national shortage of suitable candidates and pressures on all local authorities to recruit and retain Work continues with LGA to support managers in exploring and implementing workforce planning strategies involving	15-Mar-2022

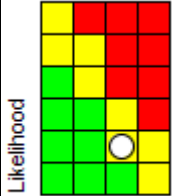
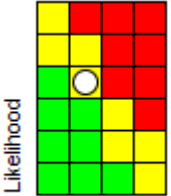
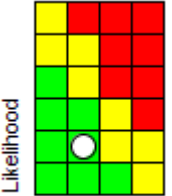
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								<p>succession planning, apprentices, graduates, 'grow your own' temporary and long-term use of external capacity and expertise.</p> <p>Project initiated to review current approaches to recruitment and selection to enhance Council as an Employer of Choice recognising the changing needs and work/life balance of people through the pandemic.</p> <p>Due to national labour shortages and pressures affecting all markets at all levels, East Midlands Councils have reintroduced the Pay and Rewards working group to</p>	

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								support Council's in underpinning their workforce plans. Additionally, the Council has commissioned an external sense check of its pay and rewards structure against current/projected market changes and also equality proofing.	

Risk removed from the register

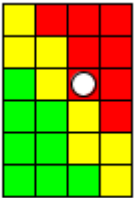
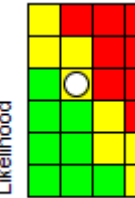
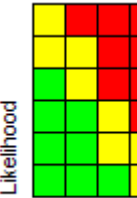
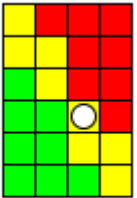
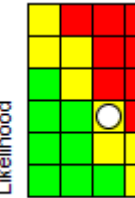
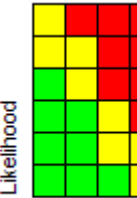
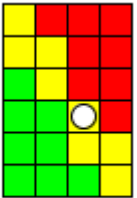
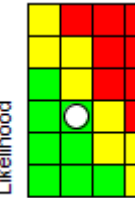
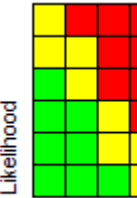
Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
Commercial property investment	<p>Likelihood</p> <p>Impact</p>	<p>Likelihood</p> <p>Impact</p>	<p>Likelihood</p> <p>Impact</p>	Decreasing	<ul style="list-style-type: none"> • In alignment with Savings Strategy – expected reduced trading service costs/ increase income not realised • Reputational impact of trading services performing inconsistently with Council values • Alienation of customer base 	Robust monitoring arrangements for portfolio – stability of tenant, stability of market and macro economics ; Ensure adequate lease length (greater than 7 years) ; Ensure tenant has good financial standing and passes regular credit analysis (D+B) ; Property reserve to offset short term voids	Pete Hudson	All tenants except for the Hotel continue to pay their full annual rents. An agreement is in place with the hotel to assist with the recovery from the pandemic until 31 March 2023.	03-May-2022
Idlewells Shopping Centre – Potential for Business Owners (Elandi) in Administration	<p>Likelihood</p> <p>Impact</p>	<p>Likelihood</p> <p>Impact</p>	<p>Likelihood</p> <p>Impact</p>	Constant	Centre closure / reduced offer: Economic – loss of income from business rates Economic – reduced rental income from Indoor market	Working relationship with Centre’s management team ensuring fully aware of financial position as far as reasonably practical	Trevor Middleton	The centre is now being actively marketed on the commercial market as a going concern and will be sold – it has been heavily discounted	12-Apr-2022

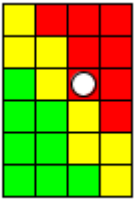
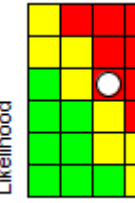
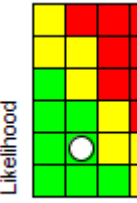
Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
					Economic – Employment offer reduced Economic – Centre in decline / wider investment through Towns fund Physical – maintenance and repairs issues indoor market				
Ability to achieve efficiencies and compliance from procurement reviews / improvement				Decreasing	<ul style="list-style-type: none"> • Penalties for non-compliance with legislation • Inability to meet MTFS savings targets if procurement savings not achieved 	<p>Agreement of a new Procurement Strategy setting out clear guidance for spending managers ; Particular emphasis on small value procurement (under £25k) to ensure that the Council has legally compliant processes in place ; Review of Procurement Arrangements</p>	Chris Clarke	Recommendations of the review are being implemented and the training of staff in relation to contract management is underway. This should provide Officers with the skills to manage contracts thus minimising more effectively under performance.	04-Apr-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
						(Shared Procurement Unit) to ensure objectives are being met			
Risk of Government's Waste strategy setting unattainable targets around recycling and service provision. Including the requirement to provide free garden waste service and separate food waste collections	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Constant	<ul style="list-style-type: none"> potential fines from EU reputational damage 	Discussions with County regarding innovative options is ongoing ; Development of Scrutiny review in September 2016	Alastair Blunkett	The Authority continues to work with Partners to review the probable impact for residents and business of Ashfield. However, the Department for the Environment Food and Rural Affairs (Defra) has yet to publish its response to the consultations on consistency in household and business recycling in England and the deposit return scheme (DRS). While no time frame was given, just in 'due course', purdah will have delayed any announcement until after the 6th of May.	05-May-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
Failure to Support and Safeguard Vulnerable people	<p>Likelihood</p> <p>Impact</p>	<p>Likelihood</p> <p>Impact</p>	<p>Likelihood</p> <p>Impact</p>	Constant	<ul style="list-style-type: none"> Life may be in danger, risk of major injury, further abuse or severe physical and mental health impacts Reputational damage to the Council 	<p>Corporate Vulnerability and Safeguarding Working Groups meets quarterly to discuss legislative changes to Safeguarding practice</p> <ul style="list-style-type: none"> Mandatory training provided to all employees on Safeguarding Tri-X Safeguarding Policy available to employees Safeguarding information available on the intranet for all employees. Named safeguarding lead contacts available within the Council Formal mechanisms in place to record and monitor referrals to 	Nicky Moss	The controls remain the same.	29-Mar-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
						<p>manage reported cases and support and safeguard vulnerable people.</p> <p>; Terms of reference in place for the Working Group – wide membership across the Council</p> <p>; Corporate Leadership Team (CLT) is kept informed through a twice-yearly annual tracker</p> <p>; Corporate Safeguarding Lead for the Council is a member of the Nottinghamshire Safeguarding Partnership</p> <p>; Action Plan in place which covers all aspects of safeguarding</p> <p>; Safeguarding Audits undertaken – last Audit 2019</p>			

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
Potential impact upon resource levels and capacity due to COVID 19	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Decreasing	Ability to maintain service delivery both Essential Services and others Reduction in sufficient skills Reduced ability to recruit	Maintain/update priority list of essential services	Karen Barke	We are now moving into living with Covid and restrictions have now been lifted, however absence due to Covid is still apparent and will be monitored.	04-May-2022
Ability to maintain service delivery due to absence levels related to COVID 19	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Constant			Karen Barke	There has been a decrease in the impact on services due to covid as we move to 'living with Covid'. The impact will continue to be monitored over the next period	04-May-2022
Effective Strategic Leadership of a Robust Coronavirus recovery plan	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Constant	Failure to have effective recovery plans in place Failure to maximise partnerships and work with third sector to mitigate against Covid impact Failure to review	CLT to act as ADC Recovery Plan drivers ; Weekly recovery update to CLT and Leadership meeting ; Ensuring suitable representation and input in LRF- Recovery Planning and Groups	Craig Bonar	Covid Recovery key actions are being integrated into the refresh of the 5-year Strategic Direction, annual Corporate Plan review and service plans. A LGA review of the Council's Covid	15-Mar-2022

Title	Original Matrix	Current Risk Matrix	Target Risk Matrix	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Latest Assessment
					and prioritise key actions and activities to support recovery Ineffective allocation of capacity and resources Failure to embed new ways of working and delivery models			Recovery planning takes place in March 2022 which will assist with sense checking the Council's approach Senior officers continue to be members of the LRF Covid response and recovery mechanisms.	
Temporary Accommodation - sufficient units to meet demand	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Constant	<ul style="list-style-type: none"> Finance - higher bed and breakfast costs Statute - failure to meet statutory duty 	Filter in more properties as become available through tenancy voids. ; Find additional resource to manage properties	Phil Warrington	No change to risk rating. Temporary accommodation continues to operate at capacity. High number of homeless applicants, linked less to covid and more to high number of private rented evictions. Unlikely to change in future, with cost-of-living crisis potentially compounding problems.	03-May-2022