

Corporate Risk Register

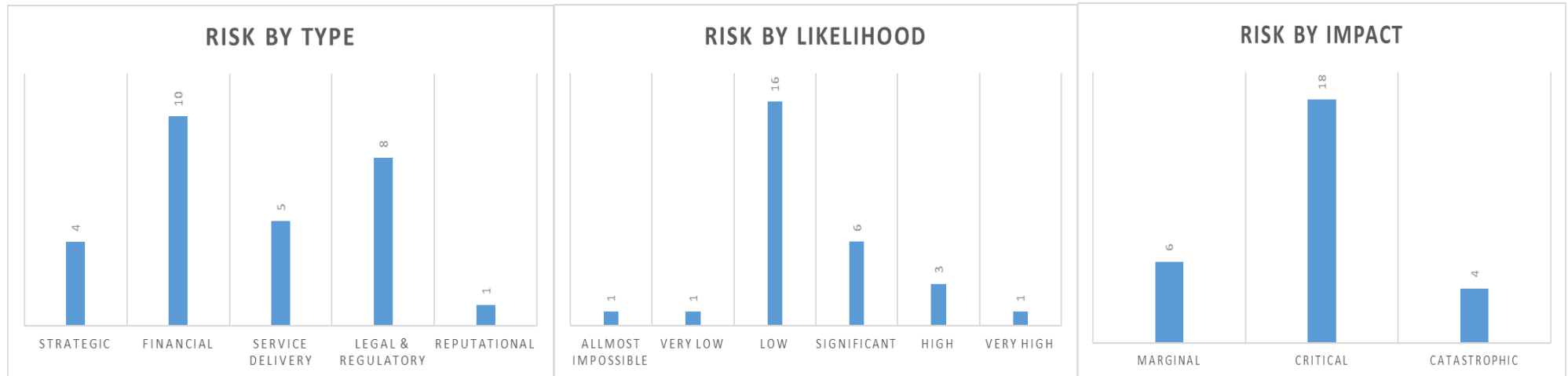
Date 8th January 2021



Summary

Risks High/Medium/Low

High - 12
Medium – 9
Low – 7

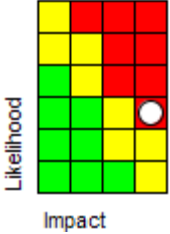
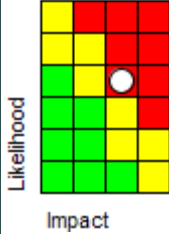
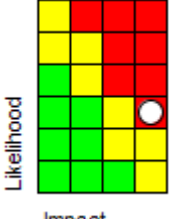


Cleaner and Greener

Title	Current Risk Matrix Q2/Q3	Previous Matrix Q2/Q3	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Risk type	Last updated
CRO72–Risk of Government’s Waste strategy setting unattainable targets around recycling and service provision. Including the requirement to provide free garden waste service and separate food waste collections			Same	<ul style="list-style-type: none"> • potential fines from EU • reputational damage 	Discussions with County regarding innovative options is ongoing	Service Manager Neighbourhoods and environment	No update from Central Government, this risk remains unchanged.	Legal & Regulatory Risk	21-Sep-2020
					Development of Scrutiny review in September 2016				

Economic Growth and Place

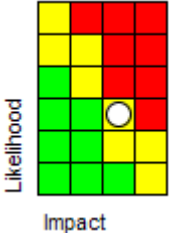
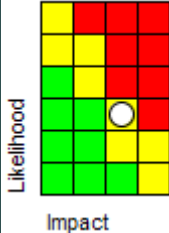
Title	Current Risk Matrix Q2/Q3	Previous Matrix Q2/Q3	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Risk type	Last updated
CR)-40 Failure to have adopted LDF / Local Plan			Impact increased, remains significant	<ul style="list-style-type: none"> • Diminish ability to stimulate economic growth • Increase likelihood of a developer lead approach to devt. • Maximises potential for a significant award of costs against the authority • New approach to plan. High risk. Members Aware. • Local Plan now at preferred approach. Need to publish next stage. Failure to achieve will set 	Need alternative approach to development with Members through adoption of Local Plan	Christine Sarris	This is the correct risk rating in my view. There is increasing concern around the uncertainty created by potential changes to the standard housing methodology which increases the housing requirement by over 300 houses per year on top of the 500 per year under the previous methodology. This is an unacceptable level and representations have been made back to Govt. This figure would completely change strategic direction if it is rolled out and will cause delay to the programme until resolved. There has also been uncertainty created by the proposed White Paper. Both authorities and developers alike have	Legal & Regulatory Risk	16-Oct-2020
					Regular engagement with Members to bring them on board				
					Keeping abreast of latest challenges; work with Planning Advisory Service for proof-reading				
					Keeping a clear audit trail of engagements with developers and consultees				
					work with Elected Members to address concerns				
					Provide professional guidance				

				back timetable. · If plan requires subsequent revision, will add delays.			concerns around proposals for differing reasons. The Government has also placed HS2 Eastern leg on hold which may alter future strategic approach and again creates uncertainty. Officers have continued to progress to milestones. Uncertainty was also created by unitary status proposals.		
CRO86- Loss of planning appeals			Impact increased, likelihood reduced, remains significant		Councillor training	Christine Sarris	There are a number of major applications yet to be determined which could very much alter the current position. ADC is currently doing well with no increase in the loss of major appeals. Officers are working to mitigate this potential but do need support from Planning Committee.	Legal & Regulatory Risk	16-Oct-2020
					Officer training				
					Monitoring				
CRO91-Town Centre Funding – inability to deliver		New risk May 2020		Failure to secure up to £50m of funding for Kirkby and Sutton.	Programme being developed to ensure milestones are met. Monitored through Pentana, Regen, Board and Discover Ashfield	Sarah Daniel	On track with development of programme for delivery. Cross reference to project plan risks.	Financial	07-Jan-2021

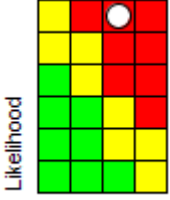
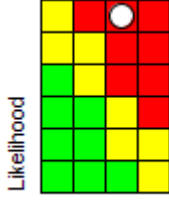
			Opportunity lost to regenerate and re-purpose town centres and local centres	Board Internal resource requirements under review, to ensure sufficient capacity. Specialists will be appointed to support business case development.		Also consider risk that scheme might be withdrawn by govt due to COVID and redirection of funds Pete to raise with Finance Cell for reference to govt for further clarity		
			Reputational damage					

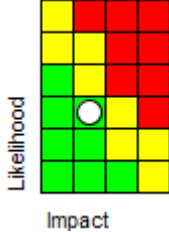
Health and Happiness

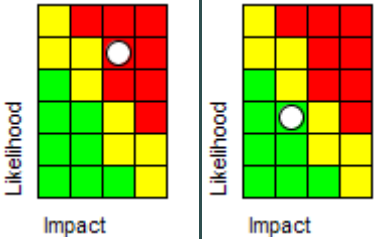
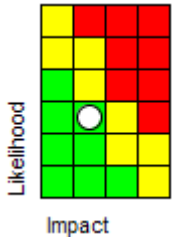
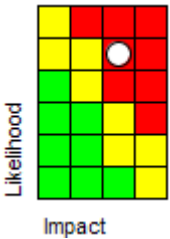
Title	Current Risk Matrix Q2/Q3	Previous Matrix Q2/Q3	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Risk type	Last updated
CR200- Leisure Centre - Failure to complete the project on time			Likelihood decreased, remains moderate	Reputational damage Delay on delivery benefits		Daroween Jones	The procurement phase has been completed and the successful contractor (Kier) have now commenced onsite. Contractual Completion date is as per original programmes of April 2022.	Reputational	21-Jan-2021
CR201- Leisure Centre - Overspend impacts the finances of the Council			Likelihood decreased, now low	Impact on budget and reduction in financial benefits		Daroween Jones	The conclusion of the tender process confirmed a contract award price within the original project budget. The balance has seen the Project Contingency increase significantly for	Financial	21-Jan-2021

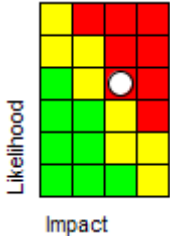
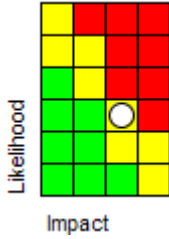
							the Construction Phase.		
CR202- Leisure Centre - Failure to secure match funding for Leisure Centre			Same	Financial impact on the Council		Daroween Jones	<p>Following completion of the tender process the LEP funding application has been submitted and is due to be considered and decided upon during W/C 25th January 2021.</p> <p>The Sport England bid will be uploaded to their portal during W/C 25th January 2021. Sport England has given permission to the Council for works to commence onsite.</p>	Financial	21-Jan-2021

Homes and Housing

Title	Current Risk Matrix Q2/Q3	Previous Matrix Q2/Q3	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Risk type	Last updated
CR046- Introduction of Universal Credit	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Same	<p>Potential loss of HRA rented income if tenants receiving UC choose not to pay rent (Profiling of current tenants as at 20/2/17 show that there is a risk to the rent roll (circa £11 million) as there will be around 3200 tenants affected. (2380 – high risk and 820 medium risk). This does not include those tenants who have working age partners.</p>	<p>The Council operates an agency agreement with DWP to assist residents who wish to claim UC</p> <p>The Welfare Reform Group brings together a series of different disciplines and partners to ensure the Council's response to UC remains pro-active and robust</p> <p>The resources available to Housing have been increased to assist affected tenants</p> <p>There is a dedicated officer for Welfare Reform in the Tenancy Service Section. This Officer has close links with the DWP.</p> <p>There is a formalised internal process for</p>	Martin Guest; Nicky Moss; Paul Parkinson	The controls remain the same.	Financial	23-Dec-2020

					managing UC cases				
					There is a UC action plan in place. This needs reviewing regularly especially around resource requirements to manage the process				
CR062- Inability to deliver affordable housing	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	same	<ul style="list-style-type: none"> • Targets not met • lack of new affordable housing going forward 	Use of S106 funding	Stuart Murray	Affordable Housing is still coming forward, although the vast majority is/will be on 100% affordable sites.	Strategic	14-Oct-2020
					Tackling empty homes				
					Close working with Planning Services				
					Work with private landlords via the Landlords Forum				
					Enforcement to tackle poor standard housing				
							ADC are still picking up s106 properties and existing privately owned properties but the pandemic is having an effect on the latter.		
							ADC now have a pipeline of developments – starting with the proposed purchase of dwellings at Davies Avenue, to start this year, followed by proposed infill schemes in Sutton, Kirkby and Hucknall. The infill schemes are		

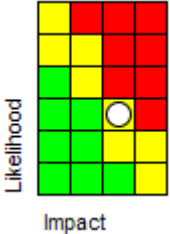
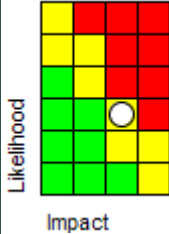
							due to start in the new year.		
CR088- Sustainability of HRA business plan and ability to invest in current and new stock			Impact and likelihood increased, now significant	Reduction in stock numbers Reduced rental income Potential implications for the long term sustainability of the housing service	HRA health check April 2019 Monthly HRA Finance meeting Quarterly/Annual sector benchmarking	Phil Warrington	Likelihood remains high due to issues in collecting rent income, linked to pandemic and additional financial burden associating with meeting property H&S requirements of Housing White Paper.	Financial	06-Jan-2021
CR098- Statutory obligation process delays (eg gas servicing)		New risk May 2020		Loss of life through explosion or carbon monoxide Reportable breach to Housing Regulator Govt intervention and/or corporate manslaughter	Following current Govt guidelines in terms of evidencing all 'refusals' Tenants provided with safety leaflet relating to CO Weekly report to Housing Regulator	Chris Clipstone; Richard Davis	Due to the Coronavirus Pandemic a number of changes have been made to the Property Health and Safety Check / Service processes to adapt to the current situation. As a consequence of the Government's guidelines on social distancing / shielding etc. there is a number of gas services which are either currently past the anniversary date for completion or have been completed after the anniversary	Legal & Regulatory	06-Jan-2021

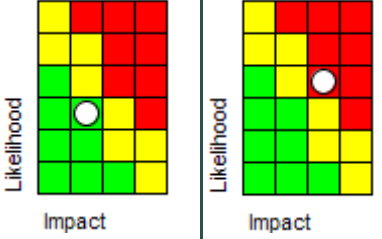
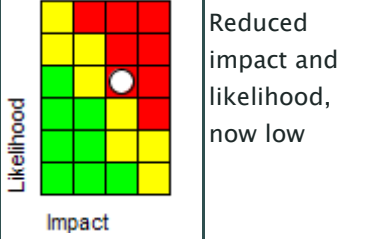
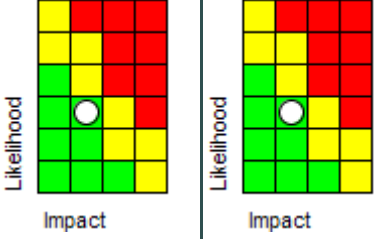
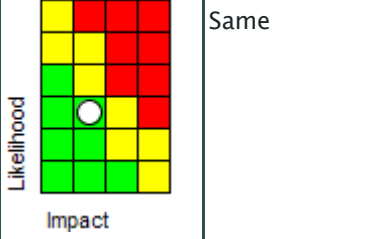
							<p>date.</p> <p>This is following the decision not to follow our usual processes to gain entry into a property whereby a Tenant does not wish to allow access due to them either shielding or their interpretation of the Government's guidelines in relation to social distancing etc.</p> <p>The decision associated with this revised gas servicing process is detailed in the following ODR:</p> <ul style="list-style-type: none"> • HAA-TEC/RD-ODR183 		
CR081- Temporary Accommodation - insufficient units to meet demand	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Increased likelihood, now significant	<p>Finance - higher bed and breakfast costs</p> <p>Statute - failure to meet statutory duty</p>	<p>Filter in more properties as they become available through tenancy voids.</p> <p>Find additional resources to manage properties.</p>		<p>Impact and likelihood high due to the challenges posed by high number of households seeking assistance through the pandemic. Additional units of TA sourced, winter provision in place and better move on arrangements minimise risk</p>	Strategic	06-Jan-2021

Innovate and Improve

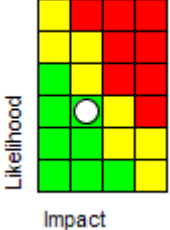
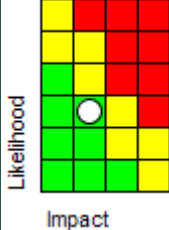
Title	Current Risk Matrix Q2/Q3	Previous Matrix Q2/Q3	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Risk type	Last update
CR003– Ethical Governance – failure/delay to implement changes to the Members' Code of Conduct and recommendations of the Committee on Standards in Public Life (CSPL) and Peer Challenge			Decreasing likelihood, now moderate	<ul style="list-style-type: none"> • Significant resource to deal with implications of proposed Code of Conduct changes. 	Ongoing work by the Standards and Personnel Appeals Committee in relation to the the Committee on Standards in Public Life – report on Local Government Ethical Standards	Ruth Dennis; Mike Joy	Standards and Personnel Appeals Committee approves an annual work programme which includes an annual review. The next review will be taken to the March 2021 Committee.	Legal & Regulatory	20–Jan–2021
				<ul style="list-style-type: none"> • Significant resource to deal with implications of implementing the recommendation of the CSPL 	Members received training regarding the Code of Conduct, their behaviours and roles and responsibilities as part of the induction in May 2019. In line with the Corporate Peer Challenge recommendation further training will be organised.		Present Quarterly Complaint Monitoring reports to Standards and Personnel (Appeals) Committee		
				<ul style="list-style-type: none"> • Potential for negative perception of the Council which impacts upon the Council’s reputation 	Present Quarterly Complaint Monitoring reports to Standards and Personnel (Appeals) Committee		Reports relating to the Committee on Standards in Public Life – report on Local Government Ethical Standards were presented to Committee in March 2019, July 2019 and October 2019 to update members on potential changes to the current system and to consider what action the Council		
				<ul style="list-style-type: none"> • Potential for 	Responding to the LGA’s				

			<p>adverse impact upon the workings of the Council</p> <ul style="list-style-type: none"> Without new legislation does not provide holistic response to the recommendation of the CSPL 	<p>consultation on its draft Model Code of Conduct.</p>		<p>may be able to take to implement best practice proposals prior to any future legislative changes taking place. A further update report had been prepared for the cancelled meeting in March 2020.</p> <p>The Committee in July 2020 considered a further update report including the LGA's consultation relating to their proposed new model code of conduct which had been delayed due to Coronavirus. The Consultation was launched on 8 June. A Council response was submitted by the Committee. The final version was launched in December 2020 - to avoid abortive, the Council had decided not to consider adopting a new Code until after the LGA's Model is finalised. The same was true of other related CSPL recommendations which</p>		
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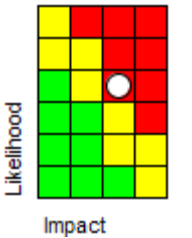
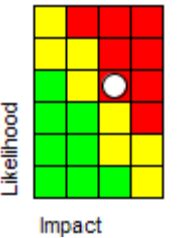
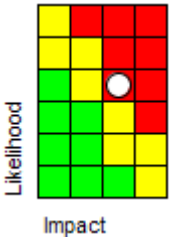
							rely upon the terms of the new Code. The finalised LGA Code was reported to Committee in December 2020 and a Working Group is being held to consider the Code and the CSPL recommendation further for reporting to Committee in March 2021.		
CR005–High levels of sickness absence			Same	<ul style="list-style-type: none"> • Productivity • Financial • Employee morale • Service delivery • Remaining staff placed under increased pressure • Reputational damage 	<p>Robust management of sickness absence procedures by managers and robust procedures – Revised Absence Mgt Policy implemented</p> <p>Effective monitoring – monthly monitoring reports highlighting service area absence to assist CMG and managers in absence management</p> <p>Employee support mechanisms – Employee assistance programme implemented</p> <p>Appropriate occupational health</p>	Kate Hill	<p>Sickness Absence is closely monitored and HR Advisers work closely with Line Managers in supporting both managers and employees, in order for intervention to be as early as possible. Occupational Health advice is sought to assist where applicable. Management reports are sent to managers monthly as well as quarterly meetings with Directors Currently we are still under target</p>	Service delivery	18–Jan–2021

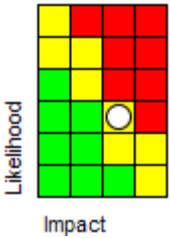
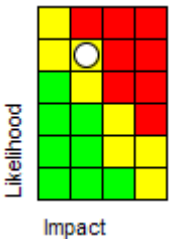
					support – Occupational Health provision reviewed				
CR029–Failure to identify savings required by MTFS			Reduced impact and likelihood, now low	<ul style="list-style-type: none"> • Council cannot fund full range of services in future • Pressure on General Fund reserves 	<p>CLT and Cabinet work together to identify savings and income generation opportunities</p> <p>For 2017/18, £1m of savings have been identified, and these workshops will continue throughout 2017, with the aim of identifying a further £1m of savings/addition income for 2018/19.</p> <p>Generate additional income</p>	Pete Hudson	Options to address the funding gap for 2021/22 have been identified which includes a combination of savings and use of reserves. Work will continue with CLT/Cabinet to identify further savings to address the forecast funding gaps for the future years of the MTFS. It is anticipated that there will be a significant contribution from efficiencies derived from the DST programme.	Financial	06–Jan–2021
CR032b–a Business Rates appeals are higher than forecast			Same	Negative impact a MTFS ; further savings required	A prudent approach is taken to estimating likely successful appeals.	Diane Mitchell; Craig Scott	The VOA are currently processing all ATM assessments and removing them from the rating list, in accordance with a recent Supreme Court ruling that confirmed that ATM's should not be rated as separate assessments. As	Financial	07–Jan–2021

							<p>this was expected ADC was able to increase it's Appeals provision to cover these once the schedules were issued by the VOA. In addition to the ATM's we have also received some additional adjustments for Doctors Surgeries which have resulted in further reductions in the RV assessments of purpose built Doctor's Surgeries. We considered that this matter had been closed during 2019-20 as we had received a large number of adjustments (these were anticipated and covered by additional Appeals Provision). It seems the VOA hadn't completed this task.</p>		
CR032b-c Level of central government funding 2020 onwards			Reduced likelihood, now moderate	Negative impact a MTFS ; further savings required	The Council will contribute to any consultation when proposals are announced, emphasising the need for resources	Pete Hudson	Due to the pandemic the Government has delayed implementing the Fair Funding Review, the Business Rates Reset and level of retention and any	Financial	06-Jan-2021

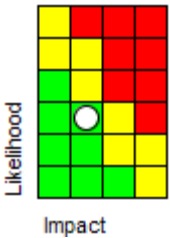
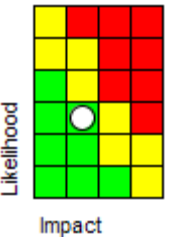
					to be allocated to deprived areas.		changes to the distribution of NHB. It is now expected that this will be implemented with effect from 2022/23. The MTFS does however assume reductions to the level of central government funding as it is expected that Districts will see a reduction, recognising the need to address national social care pressures. It is also expected that there will be financial implications as a consequence of the Government's funding response for the pandemic. These are as yet unknown.		
CR033–Ability to achieve efficiencies and compliance from procurement reviews / improvement	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Same	<ul style="list-style-type: none"> • Penalties for non-compliance with legislation • Inability to meet MTFS savings targets if procurement savings not achieved 	<p>Agreement of a new Procurement Strategy setting out clear guidance for spending managers</p> <p>Particular emphasis on small value procurement (under £25k) to ensure that the Council has</p>	Chris Clarke	A procurement review is scheduled for 2021 and a PID has been prepared for the sign off of the sponsor (AD Corporate Services and Transformation). This will cover all facets of the procurement cycle in order to identify wastage	Legal & Regulatory	04-Jan-2021

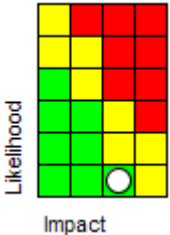
					legally compliant processes in place		and potential efficiencies.		
					Review of Procurement Arrangements (Shared Procurement Unit) to ensure objectives are being met				
CR082- Commercial property investment			Increasing likelihood, now significant	<ul style="list-style-type: none"> • In alignment with Savings Strategy – expected reduced trading service costs/ increase income not realised • Reputational impact of trading services performing inconsistently with Council values • Alienation of customer base 	<p>Robust monitoring arrangements for portfolio – stability of tenant, stability of market and macro economics</p> <p>Ensure adequate lease length (greater than 7 years)</p> <p>Ensure property investment in most advantageous asset class</p> <p>Ensure tenant has good financial standing and passes regular credit analysis (D+B)</p> <p>Property reserve to offset short term voids</p>	Justin Henry; Pete Hudson	<p>All current Investment Property tenants are paying their rents in accordance with their contracts.</p> <p>A Leadership decision has been taken that no further out of District acquisitions will take place so as not to affect the Council's access to the PWLB as a source of borrowing.</p>	Financial	06-Jan-2021

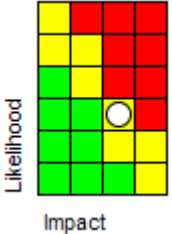
<p>CR090- Workforce planning - inability to recruit and/or retain filled position to critical posts</p>			<p>Same</p>	<p>Inability to provide critical service functions including statutory services whilst vacant Negative impact on delivery of critical functions that directly affect Corporate Plan priorities,, productivity, MTFS</p>	<p>Implementation of Workforce Plan</p> <p>Identify Critical Posts and implement strategic plan to mitigate against risks of failure to recruit/retain quality staff to these positions</p>	<p>Craig Bonar</p>	<p>Continual assessment of Impacts of Covid pandemic on delivery against essential/critical services. Targeted focus on covid information/enforcement/compliance and digital services through mix of direct employed, agency and secondments</p>	<p>Service delivery</p>	<p>21-Jan-2021</p>
<p>CR093- Reduced resource levels and capacity due to COVID 19</p>		<p>New risk May 2020</p>		<p>Ability to maintain service delivery both Essential Services and others Reduction in sufficient skills Reduced ability to Reduced ability to recruit</p>	<p>Needs details</p>	<p>Karen Barke</p>	<p>Current level to maintained with new Government Restrictions and the more contagious strain of COVID-19 this is continuing to put pressure on a number of service areas</p>	<p>Service delivery</p>	<p>18-Jan-2021</p>

CR094– Absence related to COVID 19		New risk May 2020		Need details	Need details	Karen Barke	Whilst the risk has remained the same there has been some impact in service areas especially in relation to employees self-isolating. The current strain of COVID-19 is more contagious which is having an impact, although services are continuing	Service delivery	18-Jan-2021
CR096–Loss / delays in receipt of key income sources (Business Rates, Council Tax, Housing and Investment Property Rents)		New risk May 2020		Loss of income – increased write offs. Delays in receiving income leading to potential cashflow issues. Increased debt management and recovery costs. Potential impact on payment of preceptors and having sufficient income to	Government deferral of paying Central Business Rates contribution until end of June 2020.	Pete Hudson	The impact of the pandemic will undoubtedly result in the permanent loss of some income and a delay in recovering some income also. Some, but not all of this loss is being mitigated by additional Government funding. Measures are in place to continuously monitor this and pressures will be reported through to Cabinet via the periodic Financial Monitoring Reports.	Financial	06-Jan-2021
	Increased debt management and recovery costs. Potential impact on payment of preceptors and having sufficient income to	Reserve to cushion delays in payment of Investment Property income. Arrangements in place with some Investment Property tenants re agreed delays in rent income. (Exceptions basis only). Currently expected that all accounts will be up to date by 31/03/21.							
	having sufficient income to	Healthy HRA balances to manage short term cashflow issues from reductions/delays in							

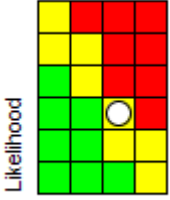
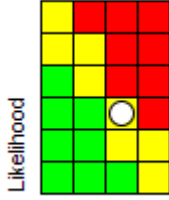
				meet cost obligations as they fall due.	housing rent				
					Option to scale back costs associated with non-critical functions.				
CR097-Data Protection-spike in remote working and risks of data loss (physical and digital)	<p>Likelihood</p> <p>Impact</p>	New risk May 2020		Data Protection breaches could, if investigated by the ICO and the Council is found to be at fault could lead to significant fines. Reputational issues Potential harmful consequences for the individuals whose data has been lost - could be financial, could be	IT Security Policies	Ruth Dennis	Close monitoring of breach reports continues and has not identified an increase in the number of breaches during the pandemic and of those breaches reported none have been so serious as to require reporting to the ICO or to have been specifically caused by remote working arrangements. Close monitoring will continue	Legal & Regulatory Risk	20-Jan-2021
					IT Security				
					DPA/GDPR information and policies available on the intranet				
					Staff training as part of GDPR implementation				
					DP and Agile working Guidance and risk assessment information produced and circulated to managers during the COVID 19 response				

CR100-Brexit			Same		Internal officers working group monitoring impact and headline assessments of potential impact and risk levels	Craig Bonar	Internal Officers group focus now aligned to monitoring impacts of post EU Exit and negotiated trade deal especially on supply chains, fuel, materials, economic and business viability.	Strategic	21-Jan-2021		
						Briefings to CLT					
						Input and participate in LRF contingency planning and measures including weekly Sit Rep Status Report and Monthly Tele-Conferences			Currently, Council is declaring a Green Flag status as is Nottinghamshire LRF. This means that there are no significant impacts or issues currently being monitored.		
						Internal Action Plan with lead officer being implemented					
						Input and participate in LRF contingency planning and measures including weekly Sit Rep Status Report and Monthly Tele-Conferences			Expected that impacts will start to flag once national contingency of stock piling supplies are utilised		

<p>CR095- Governance and decision making-</p>		<p>New risk May 2020</p>		<p>During the COVID19 pandemic, increased risk of decisions being made outside “normal” governance structure due to the need to react quickly to constantly changing situation</p>	<p>Decision making guidance issued to officers</p> <p>Amended Constitution to give greater flexibility during emergency approved at the AGM 23 April 2020</p> <p>A COVID-19 decision log is being maintained to cross reference to mod.gov and records.</p> <p>Constant dialogue and communications across CLT to ensure tracking of decisions being taken</p> <p>Appropriate use of decision urgency provisions</p> <p>Cabinet Report on 30 June updated Members</p>	<p>Ruth Dennis</p>	<p>During the initial phase of the pandemic response in March 2020 the risk of decisions being made outside of the normal governance processes was high due to the quick moving situation when the Council was required to react immediately to ever changing government guidance – decisions taken during this time were made by the Leader or CEO in direct response to a government requirement. The Cabinet report for 30 June identified these decisions and where detailed in a Decision Log.</p> <p>In light of the mitigating actions put in place and the return to a normal schedule of meetings (held virtually) the level of risk has now significantly reduced such that decision making continues to fit within the appropriate governance processes at present. Urgency provisions and delegated powers are utilised for urgent decisions, such as when new lockdown measures or restrictions are put in place at short notice.</p>	<p>Legal & Regulatory Risk</p>	<p>20-Jan-2021</p>
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<p>CR099- Effective Strategic Leadership of a Robust Coronavirus recovery plan</p>	 <p>Likelihood</p> <p>Impact</p>	<p>New risk May 2020</p>		<p>Failure to have effective recovery plans in place</p> <p>Failure to maximise partnerships and work with third sector to mitigate against Covid impacts</p> <p>Failure to review and prioritise key actions and activities to support recovery</p> <p>Ineffective allocation of capacity and resources</p> <p>Failure to embed new ways of working and delivery</p>	<p>Failure to have effective recovery plans in place</p> <p>Failure to maximise partnerships and work with third sector to mitigate against Covid impacts</p> <p>Failure to review and prioritise key actions and activities to support recovery</p> <p>Ineffective allocation of capacity and resources</p> <p>Failure to embed new ways of working and delivery</p>	<p>Craig Bonar</p>	<p>Priority of LRF remains a key focus on Covid Response due to current spike in cases and Lockdown 3 restrictions.</p> <p>Recovery actions continue to be progressed in the background including embedding of remote working, developing digital services to enhance customer accessibility and on-line services, business support measures.</p>	<p>Strategic</p>	<p>21-Jan-2021</p>
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Safer and Stronger

Title	Current Risk Matrix Q2/Q3	Previous Matrix Q2/Q3	Trend	Consequences	Mitigating Actions	Officer Responsible	Comments	Risk type	Last update
CR083–Failure to Support and Safeguard Vulnerable people	 <p>Likelihood</p> <p>Impact</p>	 <p>Likelihood</p> <p>Impact</p>	Same		Corporate Vulnerability and Safeguarding Working Groups meets quarterly to discuss legislative changes to Safeguarding practice	Nicky Moss	<p>The controls remain the same.</p> <p>There is an action plan in place for Corporate Safeguarding 2021.</p>	Service delivery	23-Dec-2020
					Mandatory training provided to all employees on Safeguarding				
					Tri-X Safeguarding Policy available to employees.				
					Safeguarding information available on the intranet for all employees.				
					Named safeguarding lead contacts available within the Council				
					Formal mechanisms in place to record and monitor referrals to manage reported cases and support and safeguard vulnerable people.				